

## Exhibit 300: Capital Asset Plan and Business Case Summary

### Part I: Summary Information And Justification (All Capital Assets)

#### Section A: Overview (All Capital Assets)

1. **Date of Submission:** 2010-03-19 17:42:35
2. **Agency:** 010
3. **Bureau:** 76
4. **Name of this Investment:** BIA - Integrated Records Management System (IRMS)
5. **Unique Project (Investment) Identifier:** 010-76-01-05-01-7602-00
6. **What kind of investment will this be in FY 2011?:** Operations and Maintenance
  - Planning
  - Full Acquisition
  - Operations and Maintenance
  - Mixed Life Cycle
  - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB? \***
8. **Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap; this description may include links to relevant information which should include relevant GAO reports, and links to relevant findings of independent audits.**

IRMS is a trust information system incorporating ownership, leasing, and people subsystems. IRMS allows the user to access, create and modify records in the data file for Indian individual ownership interests and encumbrances and income derived from trust property to the Indian beneficiary. The primary modules within IRMS are: ownership, lease, Royalty Distribution and Reporting System (RDRS) and Individual Indian Monies (IIM). The development work that is adding the Oil & Gas functionality to TAAMS makes IRMS a mixed mode investment. Also included in IRMS is People Per Capital, and Osage Distribution. The following legacy pay out systems support and are included in the IRMS business case: MAD, GLAD, and RDRS. IRMS is in accordance with Trust requirements and is consistent with legal compliance of the Cobell lawsuit. IRMS is a fully developed system and has reached its maximum capacity and functionality. Development of a modernized integrated trust system for land title ownership and trust property data is underway via TAAMS, which is a separate BIA business case. IRMS is a sunset investment reaching the end of its life. IRMS is one of several legacy systems migrating into TAAMS.

  - a. **Provide here the date of any approved rebaselining within the past year, the date for the most recent (or planned) alternatives analysis for this investment, and whether this investment has a risk management plan and risk register.**
9. **Did the Agency's Executive/Investment Committee approve this request? \***
  - a. **If "yes," what was the date of this approval? \***
10. **Contact information of Program/Project Manager?**
  - **Name:** \*
  - **Phone Number:** \*
  - **Email:** \*
11. **What project management qualifications does the Project Manager have? (per FAC-P/PM)? \***
  - Project manager has been validated according to FAC-PMPM or DAWIA criteria as qualified for this

investment.

- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/OM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

**12. If this investment is a financial management system, then please fill out the following as reported in the most recent financial systems inventory (FMSI):**

Financial management system name(s)	System acronym	Unique Project Identifier (UPI) number
*	*	*

a. **If this investment is a financial management system AND the investment is part of the core financial system then select the primary FFMIA compliance area that this investment addresses (choose only one):** \*

- computer system security requirement;
- internal control system requirement;
- core financial system requirement according to FSIO standards;
- Federal accounting standard;
- U.S. Government Standard General Ledger at the Transaction Level;
- this is a core financial system, but does not address a FFMIA compliance area;
- Not a core financial system; does not need to comply with FFMIA

## Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

<b>Table 1: SUMMARY OF FUNDING FOR PROJECT PHASES</b> <b>(REPORTED IN MILLIONS)</b> (Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)									
	PY1 and earlier	PY 2009	CY 2010	BY 2011	BY+1 2012	BY+2 2013	BY+3 2014	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition:	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
SUBTOTAL:	*	*	*	*	*	*	*	*	*
Government FTE Costs should not be included in the amounts provided above.									
Government FTE Costs	*	*	*	*	*	*	*	*	*
Number of FTE represented by Costs:	*	*	*	*	*	*	*	*	*
TOTAL(including FTE costs)	*	*	*	*	*	*	*	*	*

2. If the summary of funding has changed from the FY 2010 President's Budget request, briefly explain those changes:

\*

## Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table 1: Contracts/Task Orders Table

Contract or Task Order Number	Type of Contract/Task Order (In accordance with FAR Part 16)	Has the contract been awarded (Y/N)	If so what is the date of the award? If not, what is the planned award date?	Start date of Contract/Task Order	End date of Contract/Task Order	Total Value of Contract/Task Order (M)	Is this an Interagency Acquisition? (Y/N)	Is it performance based? (Y/N)	Competitively awarded? (Y/N)	What, if any, alternative financing option is being used? (ESPC, UESC, EUL, N/A)	Is EVM in the contract? (Y/N)
K002812169B	Time & Materials	Y	2005-09-30	2005-10-01	2006-09-30	\$2.7	*	*	*	*	*
K002812169B	Time & Materials	Y	2006-10-01	2006-10-01	2007-09-30	\$2.3	*	*	*	*	*
K002812169B	Time & Materials	Y	2007-10-01	2007-10-01	2008-09-30	\$2.4	*	*	*	*	*
INICBK0080003	IDIQ, Firm Fixed Price with Task Orders	Y	2008-10-01	2008-10-01	2009-09-30	\$2.3	*	*	*	*	*
TBD - IRMS - Retirement	IDIQ, Firm Fixed Price with Task Orders	Y	2009-10-01	2009-10-01	2010-10-01	\$0.0	*	*	*	*	*

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

\*

3. Is there an acquisition plan which reflects the requirements of FAR Subpart 7.1 and has been approved in accordance with agency requirements? \*

a.If "yes," what is the date? \*

## Section D: Performance Information (All Capital Assets)

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2006	Serving Communities: Fulfill Indian Fiduciary Trust Responsibilities.	*	*	Accurately account for all ownership and disbursements	100%	Ownership fractionization and income disbursement amounts accounted for to 100 % accuracy	100%
2006	Serving Communities: Fulfill Indian Fiduciary Trust Responsibilities.	*	*	IRMS data successfully transmitted to OST	100%	Confirmation and validation that all trust data transferred to OST is complete	100%
2007	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of payouts to beneficiaries per year.	2,002,000 payouts to beneficiaries measured in 2006	Maintain 2,002,000 payouts to beneficiaries	100%
2007	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of beneficiaries added per year.	300,000 beneficiaries (measured in 2006)	Maintain 300,000 beneficiaries	100%
2007	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Quantity of errors in the IRMS data transmission to OST.	Two errors (measured in 2006)	Two errors	100%
2007	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of outages per year during business hours.	Five outages per year during business hours. (2006)	Five outages per year (or less)	Zero Outages
2008	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of payouts to beneficiaries per year.	2,002,000 payouts to beneficiaries measured in 2006	Maintain 2,002,000 payouts to beneficiaries	100% \$70,579,238 was paid to beneficiaries
2008	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of beneficiaries added per year.	300,000 beneficiaries (measured in 2006)	Maintain 300,000 beneficiaries	300,000
2008	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Quantity of errors in the IRMS data transmission to OST.	Two errors (measured in 2006)	One error	Zero Errors
2008	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of outages per year during business hours.	Five outages per year during business hours. (2006)	Five outages per year (or less)	Zero Outages

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
	tribes and Alaska Natives.						
2009	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of payouts to beneficiaries per year.	2,002,000 payouts to beneficiaries measured in 2006	Maintain 2,002,000 payouts to beneficiaries	100%
2009	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Quantity of errors in the IRMS data transmission to OST.	Two errors (measured in 2006)	Zero errors	Zero Errors
2009	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of beneficiaries added per year.	300,000 beneficiaries (measured in 2006)	Maintain 300,000 beneficiaries	300,000
2009	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of outages per year during business hours.	Five outages per year during business hours. (2006)	Five outages per year (or less)	Zero Outages
2010	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of payouts to beneficiaries per year.	2,002,000 payouts to beneficiaries measured in 2006	Maintain 2,002,000 payouts to beneficiaries	
2010	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Quantity of errors in the IRMS data transmission to OST.	Two errors (measured in 2006)	Zero errors	
2010	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of beneficiaries added per year	300,000 beneficiaries (measured in 2006)	Maintain 300,000 beneficiaries	
2010	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Investment to be retired in 2010	Retirement in 2010	2010	
2010	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of outages per year during business hours.	Five outages per year during business hours. (2006)	Five outages per year (or less)	
2011	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of payouts to beneficiaries per year.	2,002,000 payouts to beneficiaries measured in 2006	Maintain 2,002,000 payouts to beneficiaries	

Table 1: Performance Information Table

Fiscal Year	Strategic Goal(s) Supported	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Target	Actual Results
2011	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of beneficiaries added per year.	300,000 beneficiaries (measured in 2006)	Maintain 300,000 beneficiaries	
2011	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Quantity of errors in the IRMS data transmission to OST.	Two errors (measured in 2006)	Zero errors	
2011	Serving Communities: Advance Quality Communities for tribes and Alaska Natives.	*	*	Number of outages per year during business hours.	Five outages per year during business hours. (2006)	Five outages per year (or less)	

## Part III: For "Operation and Maintenance" investments ONLY (Steady State)

### Section A: Cost and Schedule Performance (All Capital Assets)

1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline								
Description of Milestones	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
IRMS O&M FY11	*	*	2010-10-01		2011-09-30		0.00%	0.00%
IRMS O&M FY10	\$1.0	\$0.7	2009-10-01	2009-10-01	2010-09-30		67.00%	67.00%
Investment retired	*	*	2011-10-01		2011-10-01		0.00%	0.00%
IRMS O&M FY06	\$2.8	\$2.8	2005-10-01	2005-10-01	2006-09-30	2006-09-30	100.00%	100.00%
IRMS O&M FY08	\$2.4	\$2.4	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
IRMS O&M FY04	\$11.2	\$11.2	2003-10-01	2003-10-01	2004-09-30	2004-09-30	100.00%	100.00%
IRMS O&M FY09	\$1.6	\$1.6	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%
IRMS O&M FY07	\$2.3	\$2.3	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
IRMS O&M FY05	\$2.8	\$2.8	2004-10-01	2004-10-01	2005-09-30	2005-09-30	100.00%	100.00%
IRMS Oil & Gas Development	\$1.0	\$0.7	2008-10-01	2008-10-01	2010-10-31		100.00%	94.00%
Retain IRMS Trust Records in Proper Manner	*	*	2010-10-01		2011-09-30		0.00%	0.00%

\* - Indicates data is redacted.